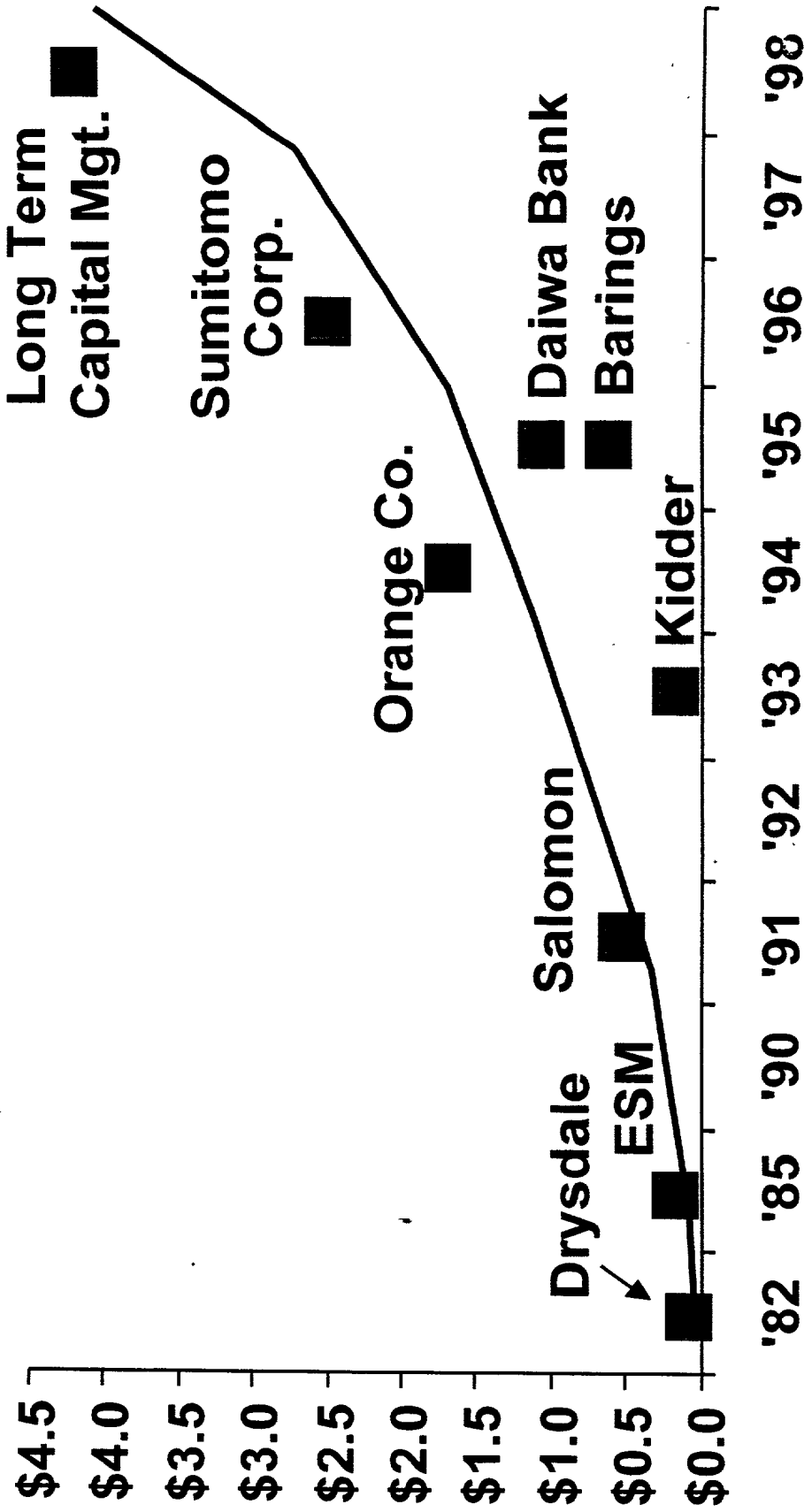


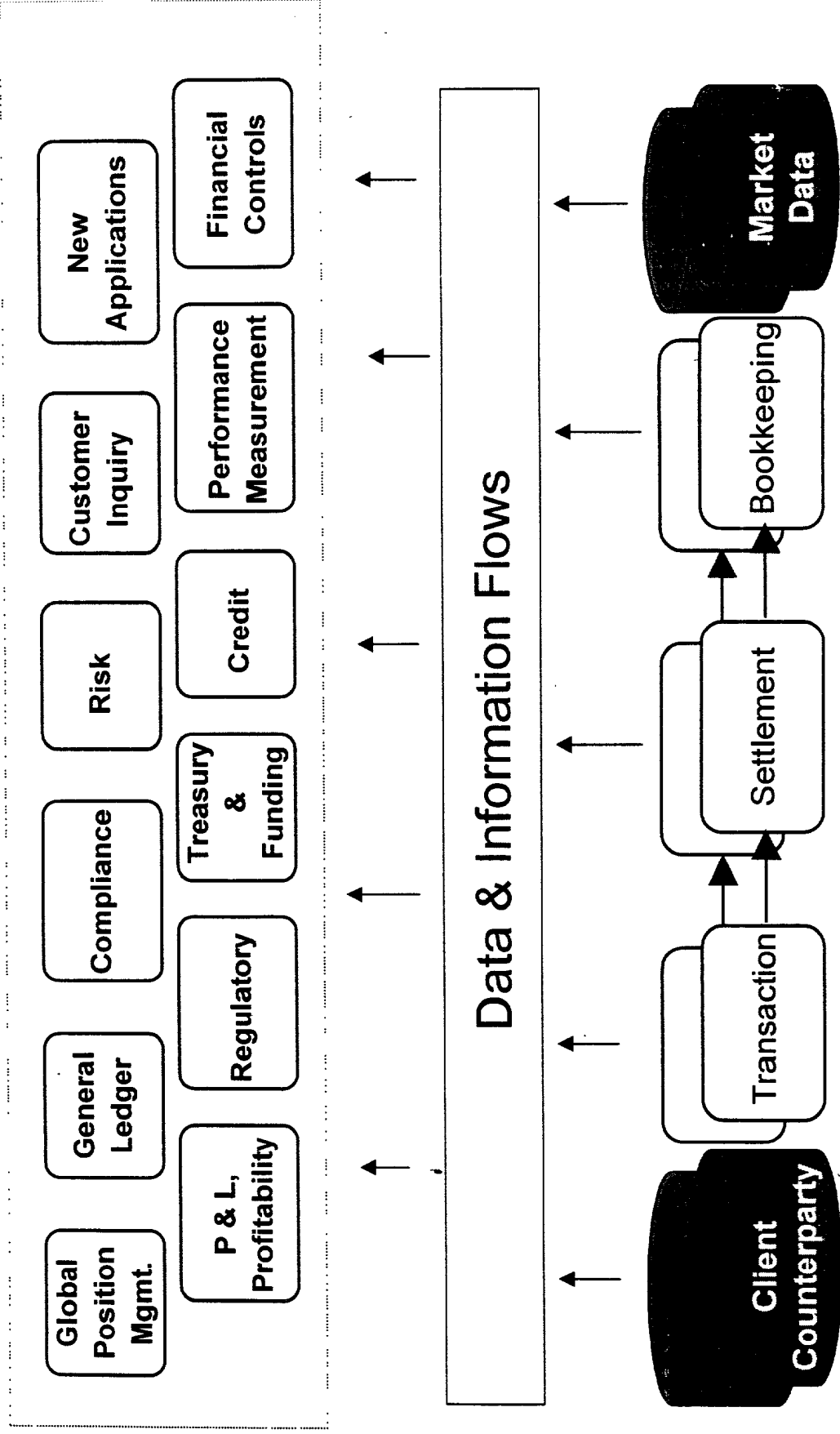
Figure 1
major trading losses 1980 - 1998



PRIOR ART

Figure 2

Consumers of financial data and information at banks, brokers, dealers, investment managers, trusts, mutual fund companies, insurance companies, custodians, and the like



Production Systems (sources of financial data)

Figure 3

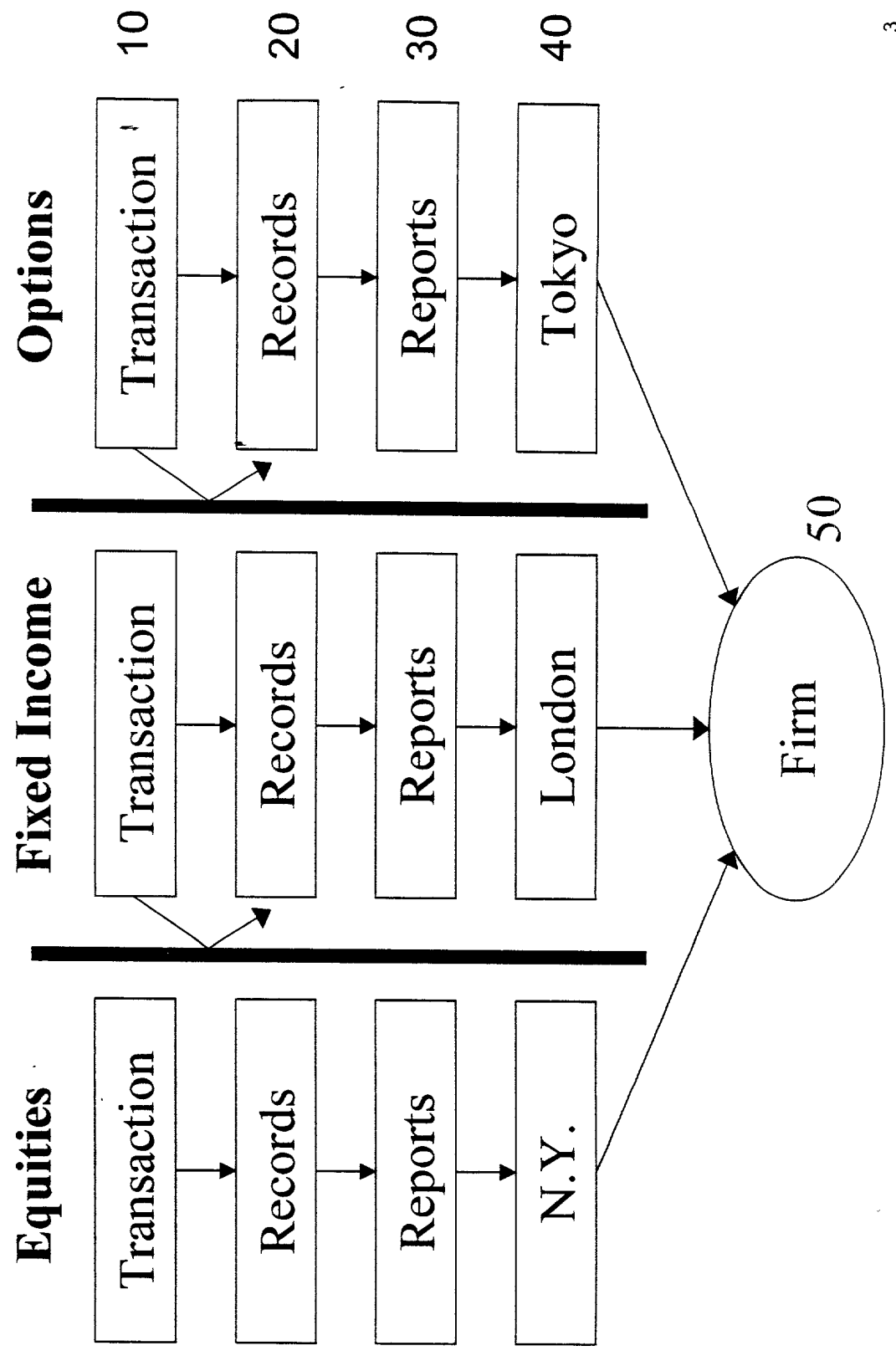


Figure 4

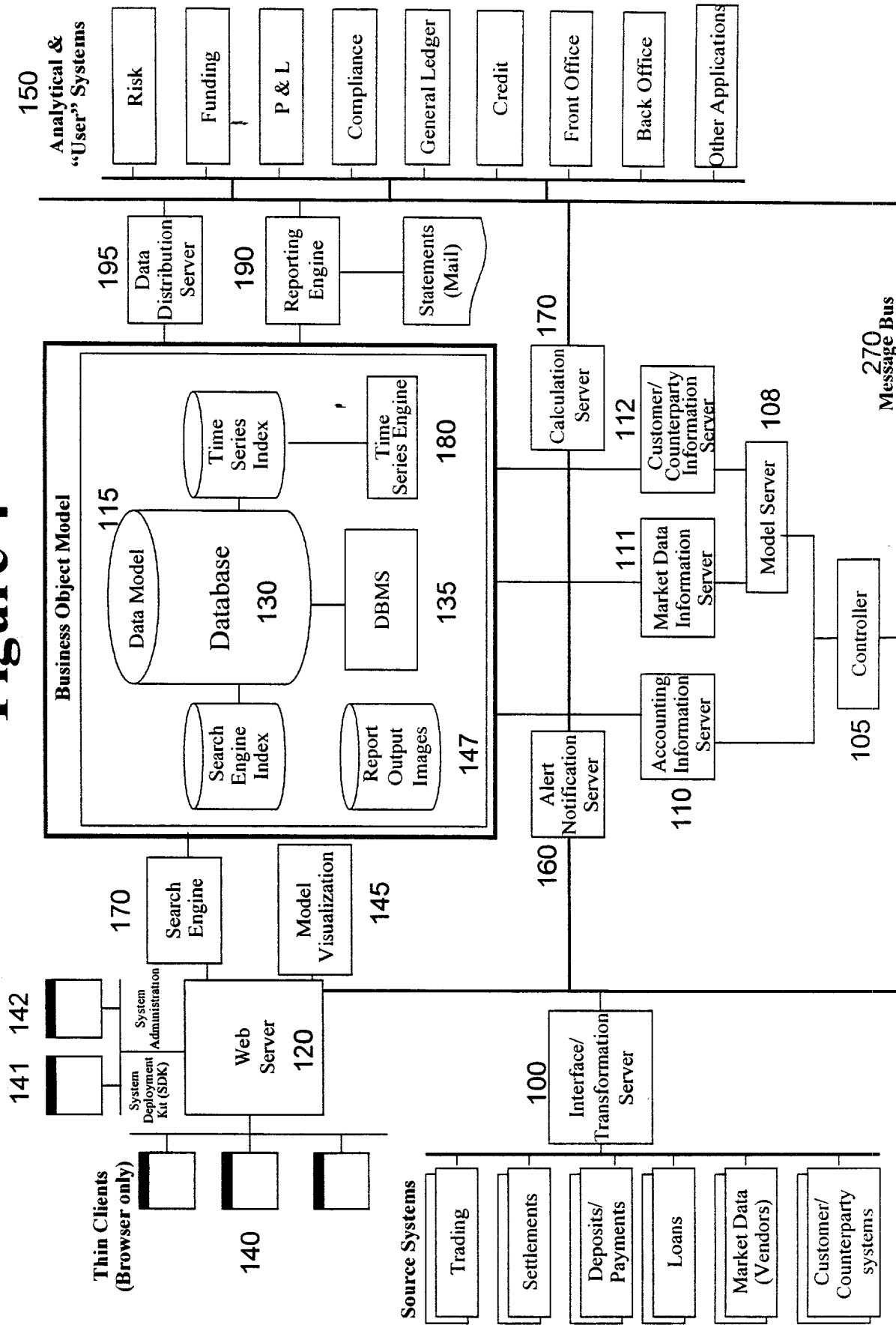


Figure 5

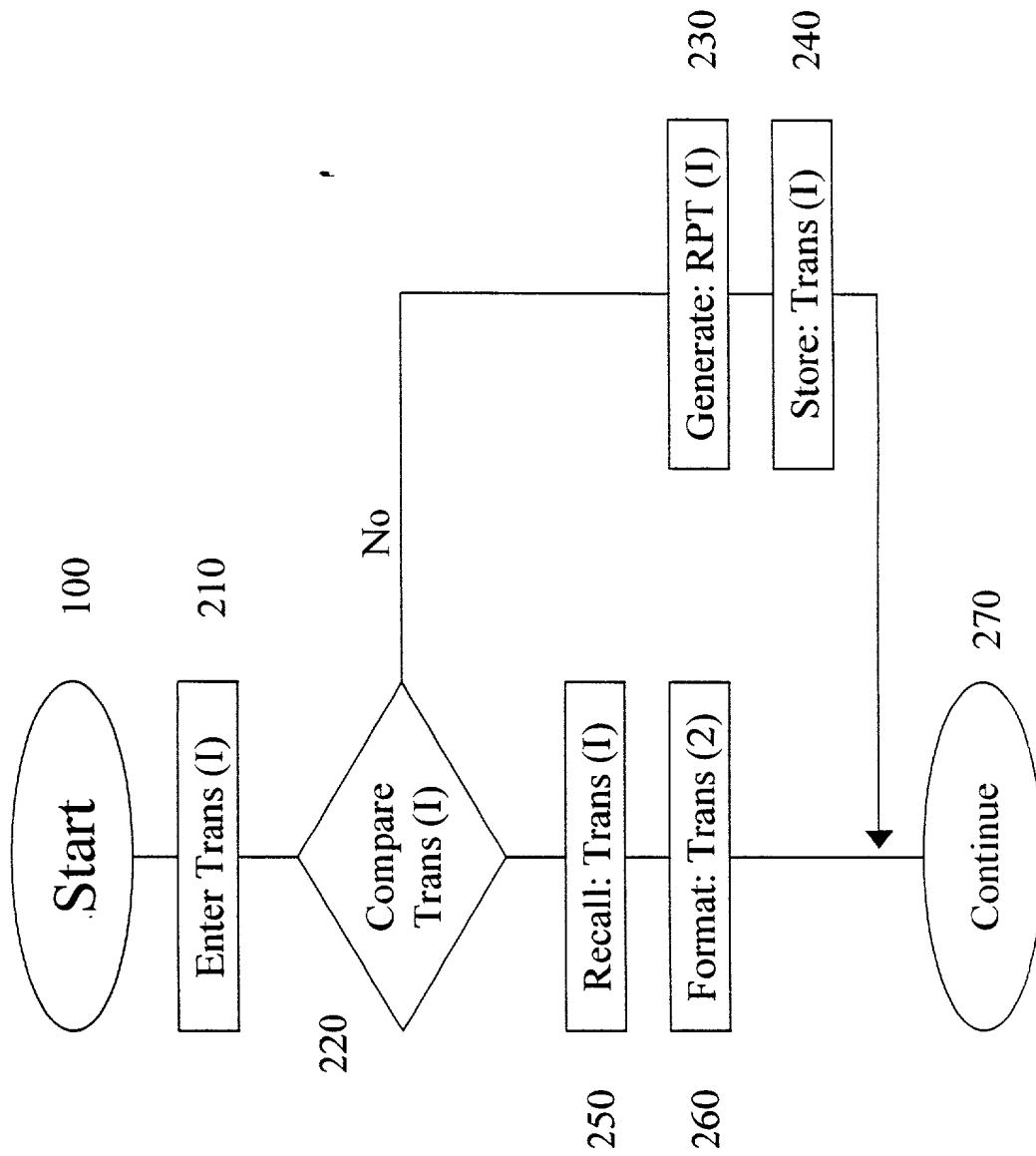


Figure 6

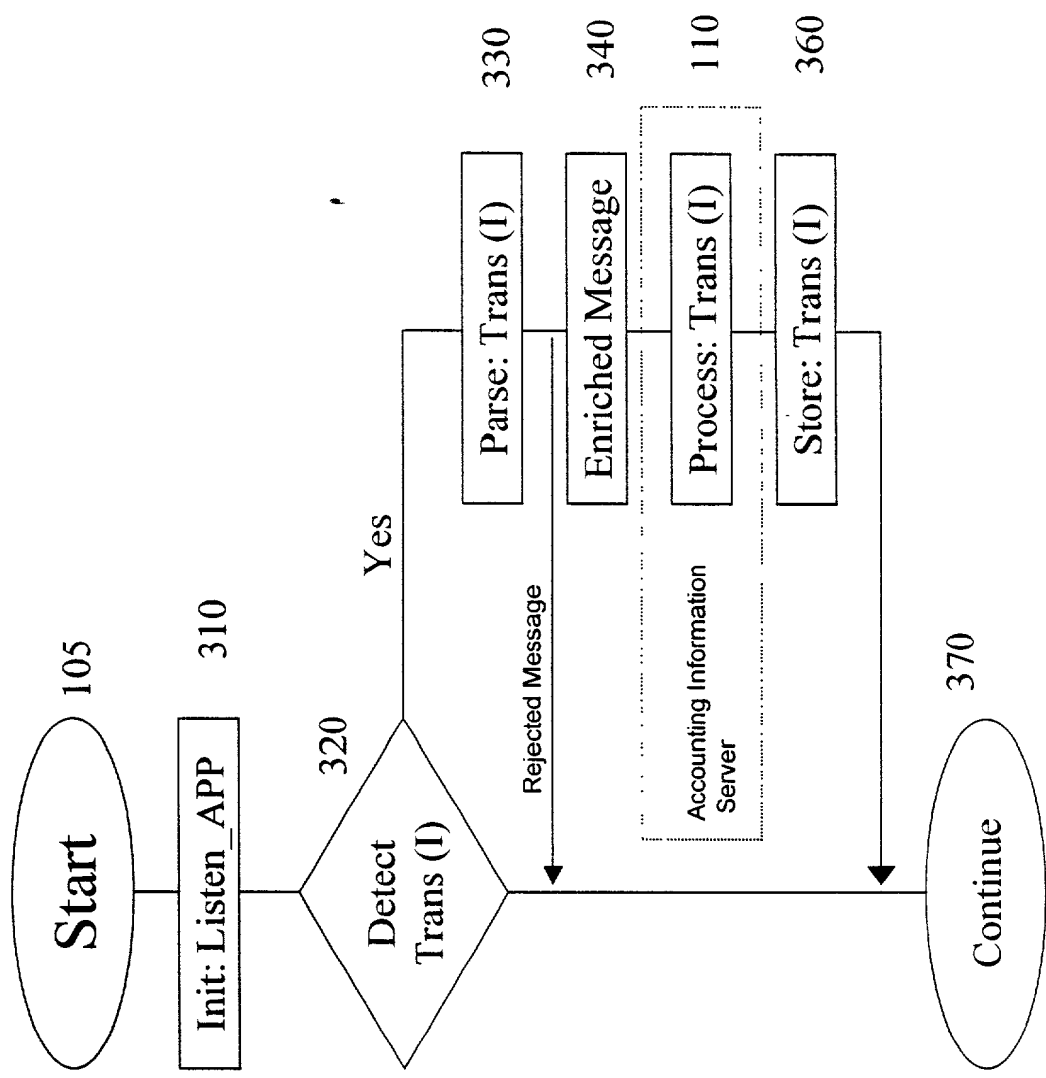


Figure 7

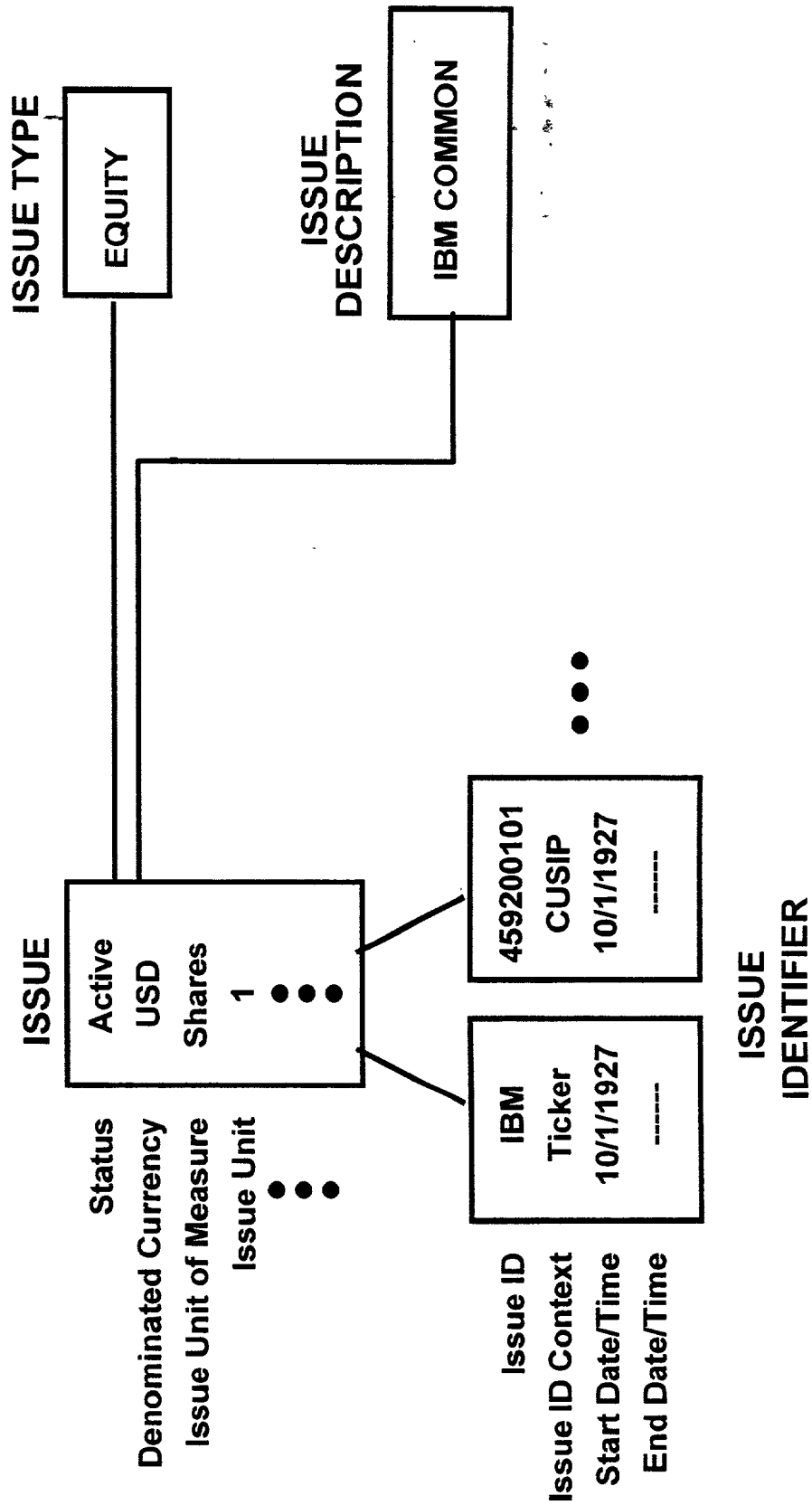


Figure 8

Related Issue Definition

Relationship Type	Start Date/Time	End Date/Time
Right to sell	March 21	July 21

Related Issue

Role	Amount	Start Date/time	End Date/Time
Basis	1	March 21	July 21
Deliver	100	July 21	July 21
Receive	12,500	July 21	July 21

Issue

Intel Put Option
Intel Common Stock
U.S. Dollar

Figure 9

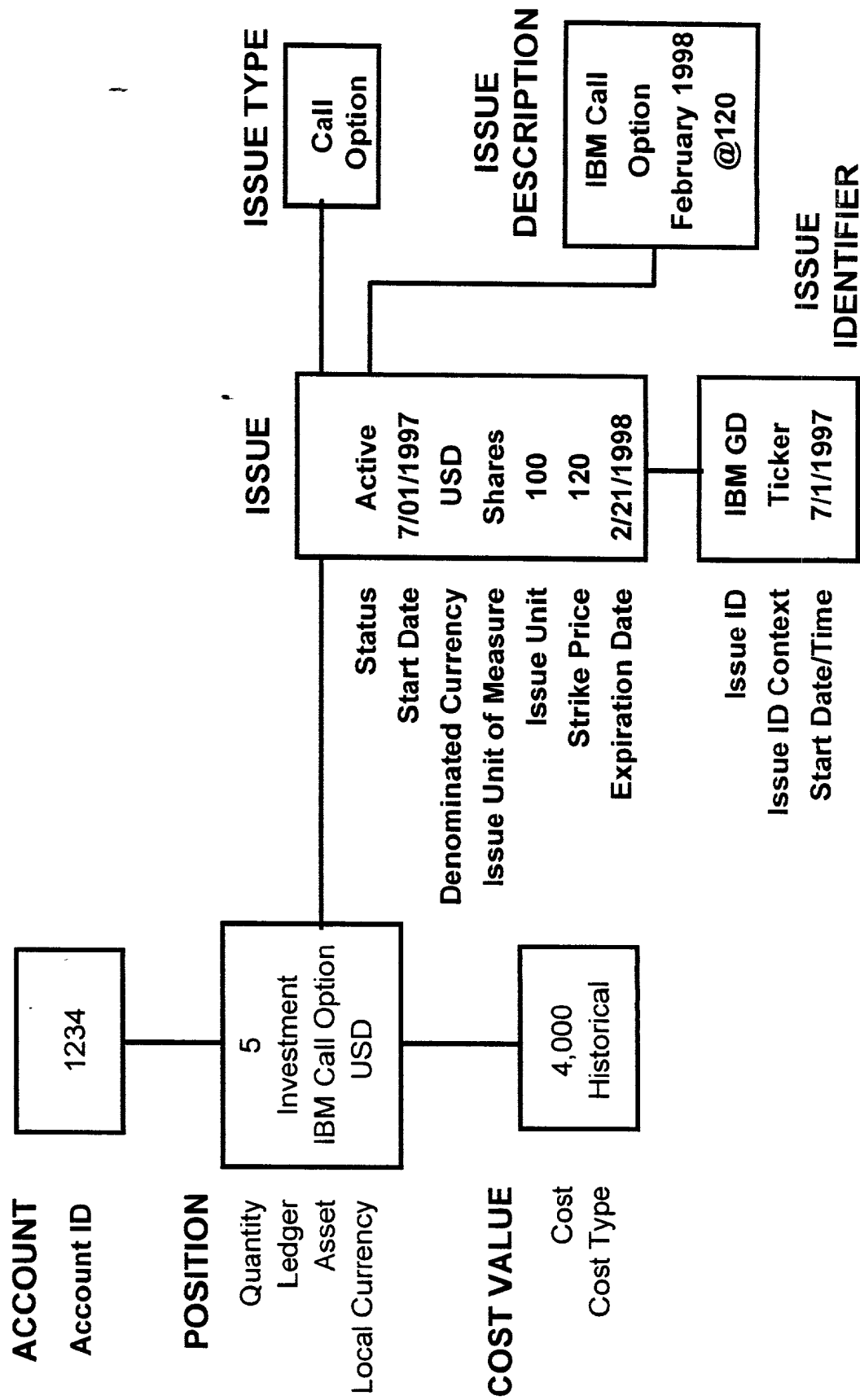


Figure 10

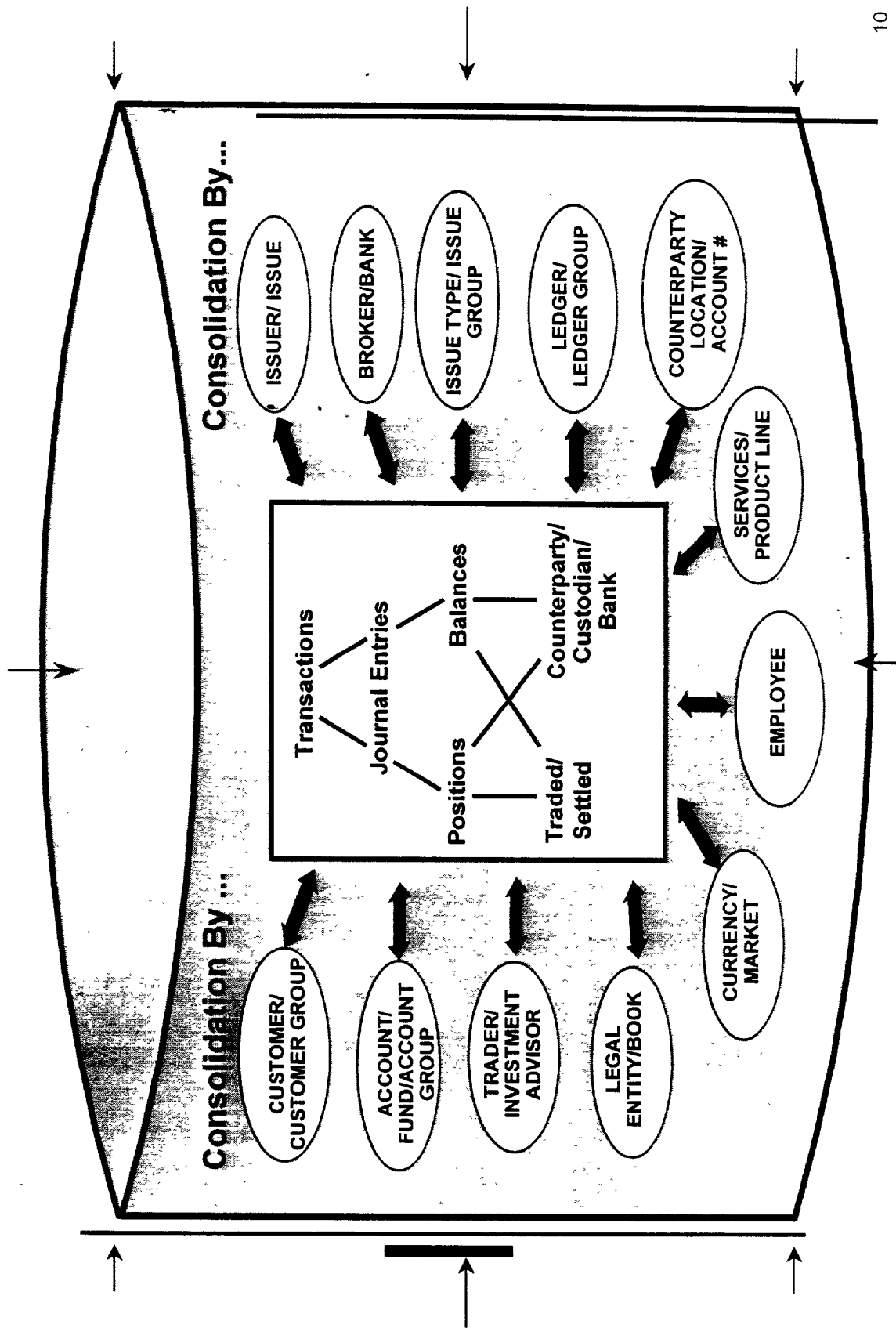


Fig. 11

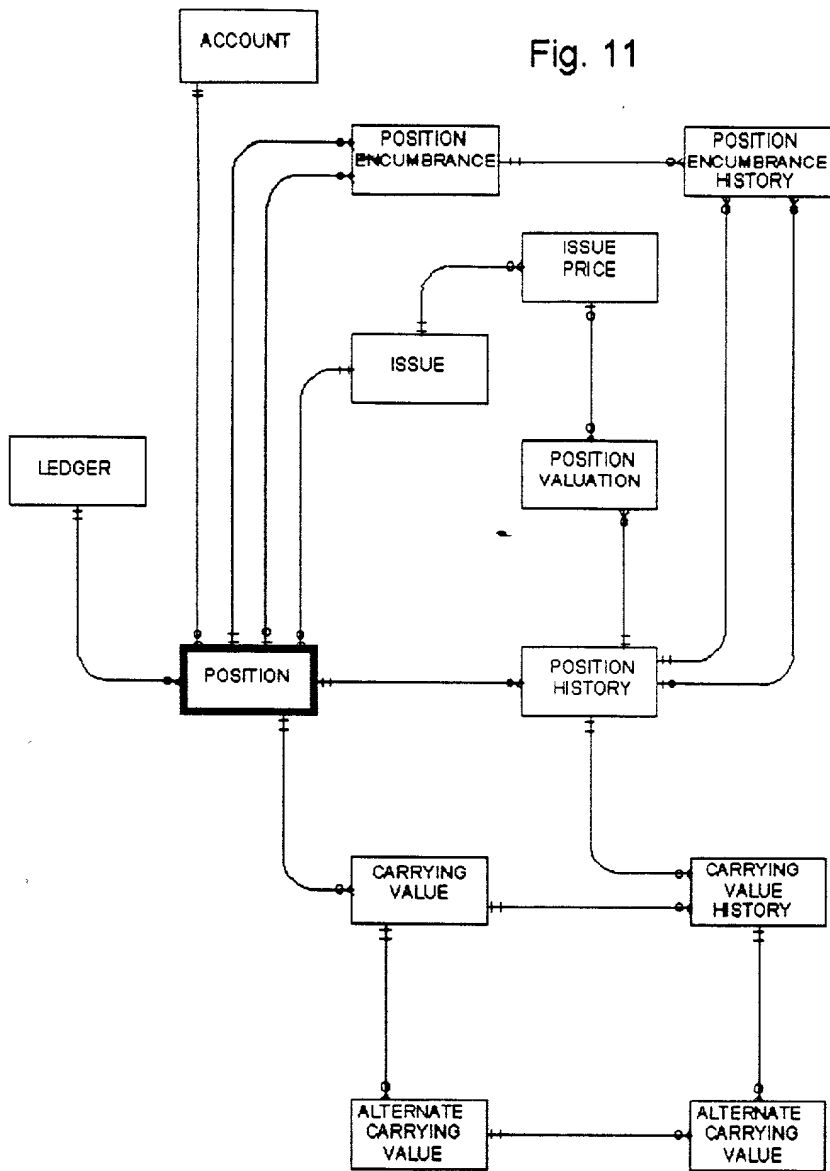


Figure 12

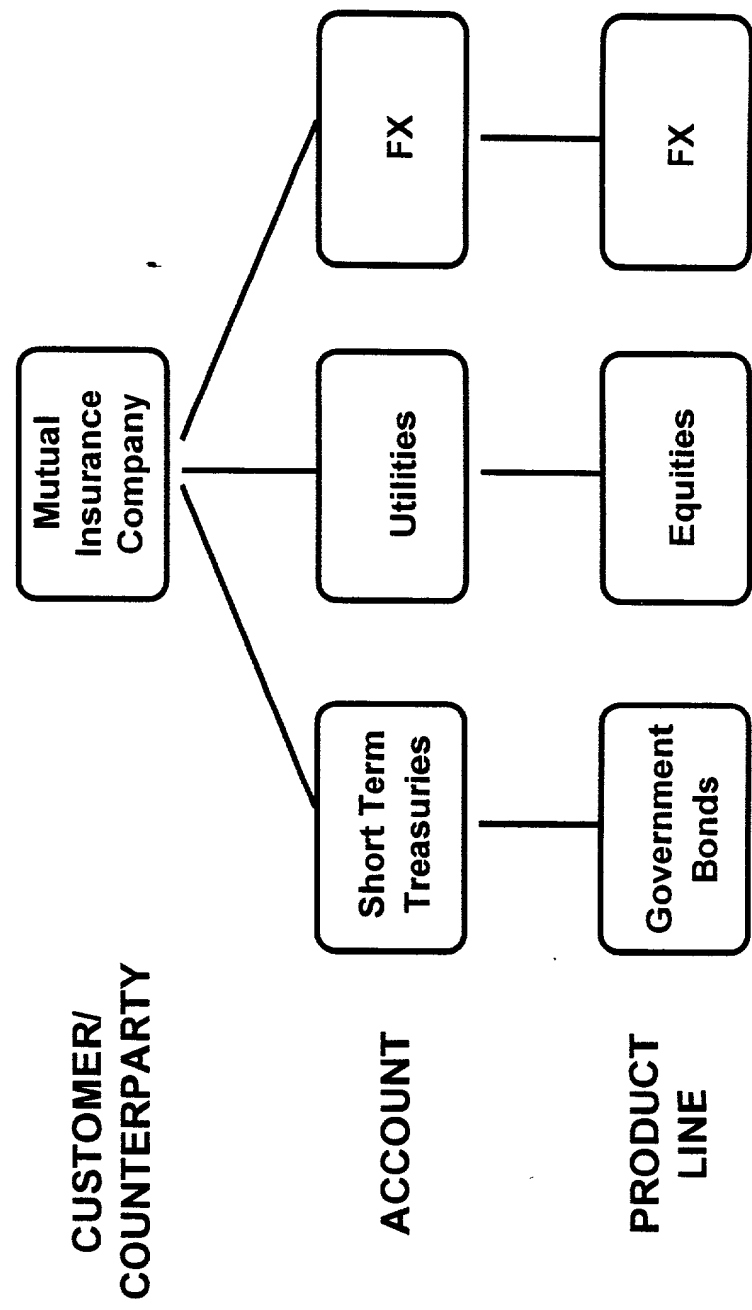


Figure 13

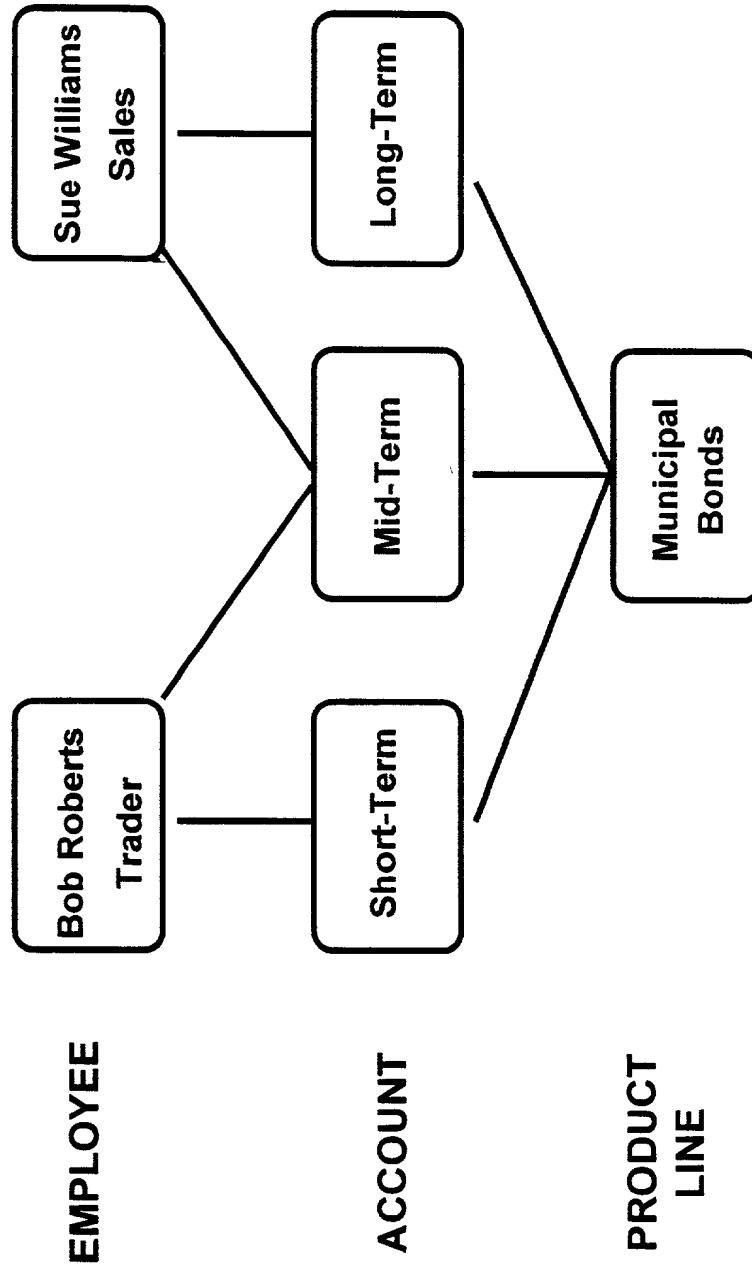


Figure 14

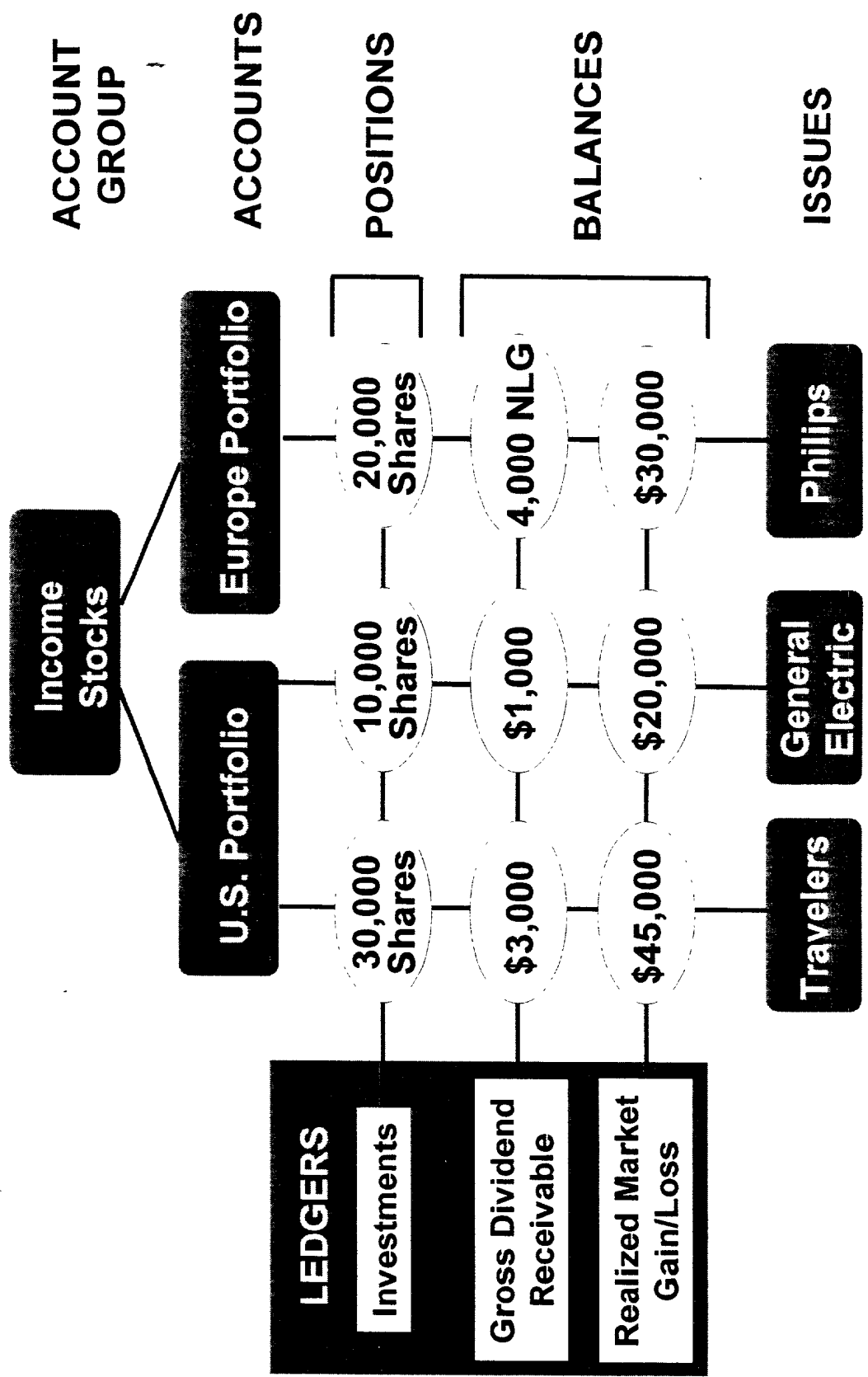


Figure 15

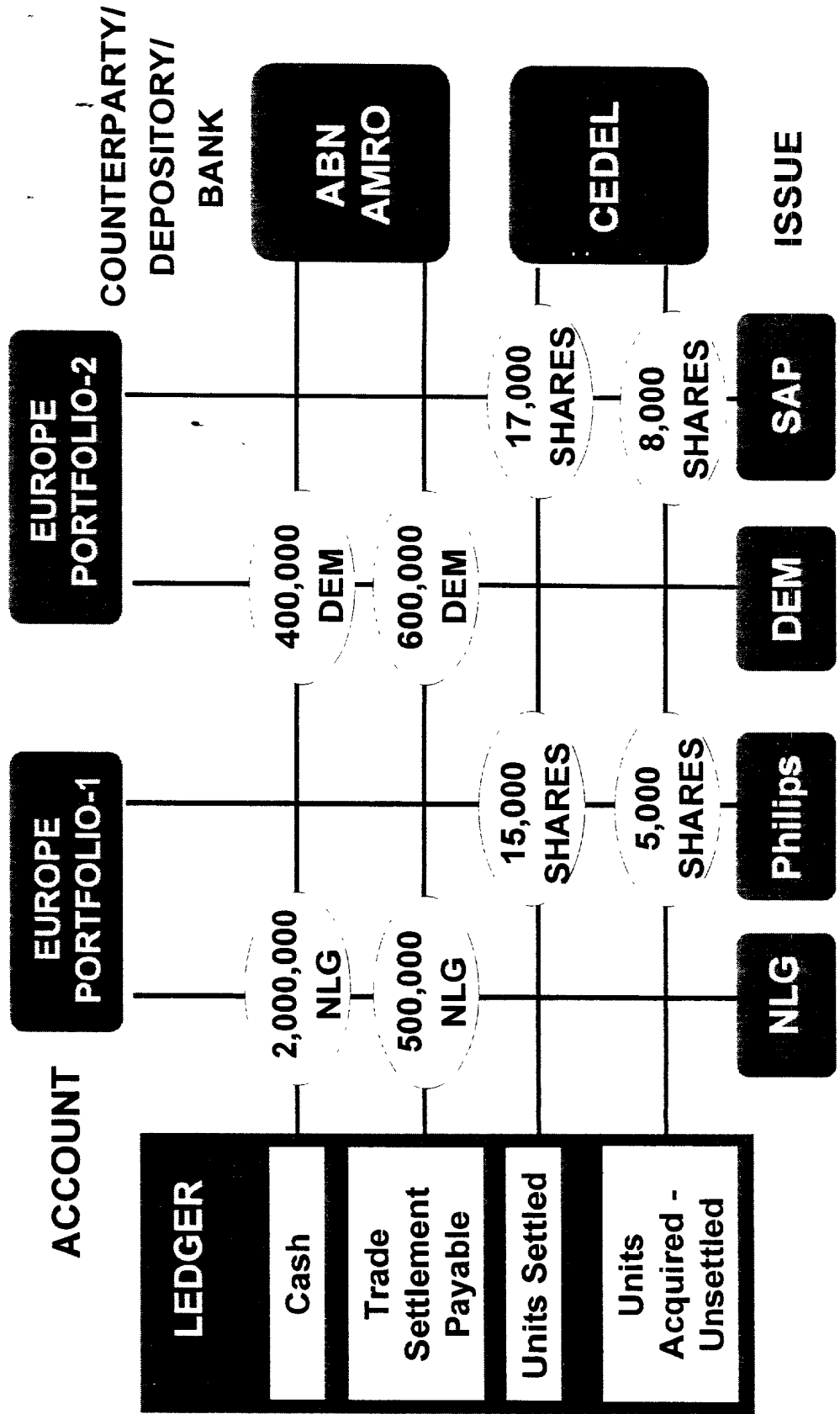


Figure 16

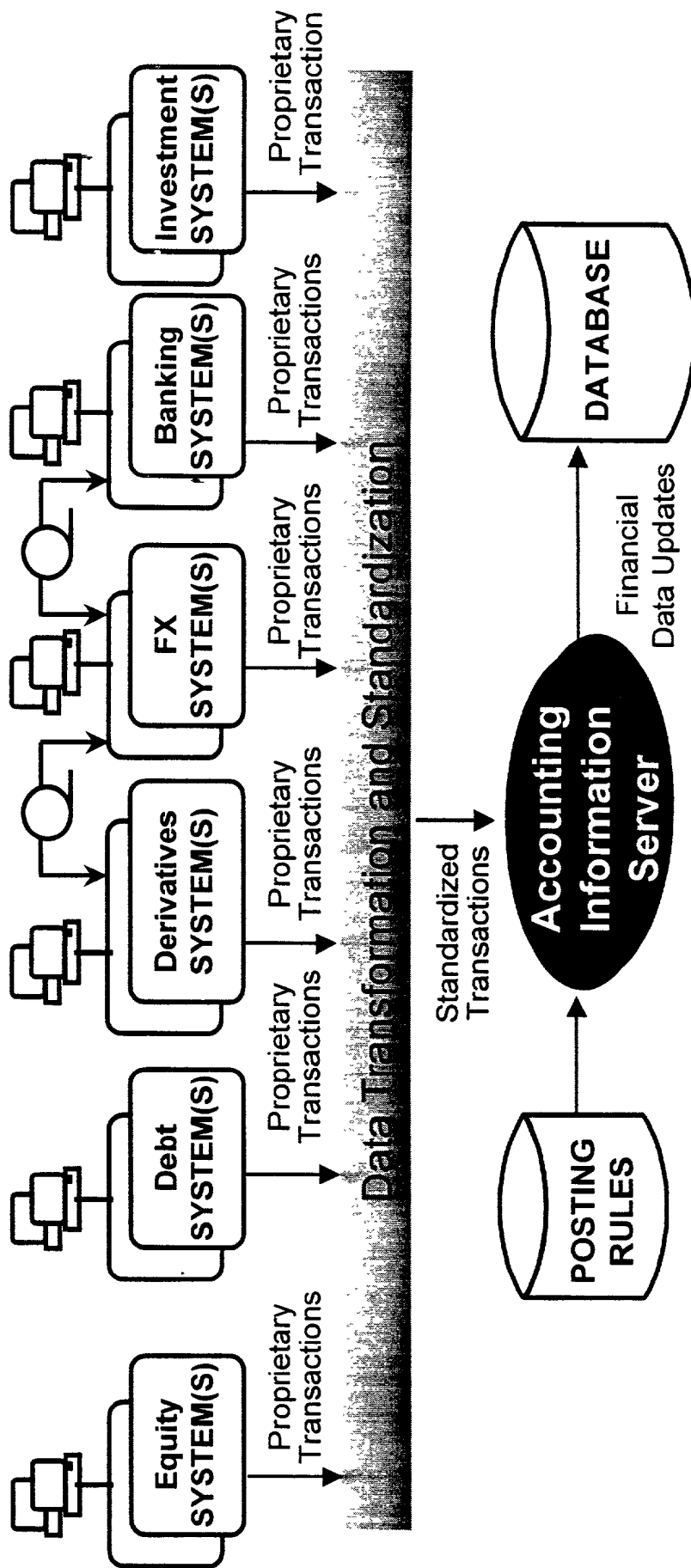


Figure 17

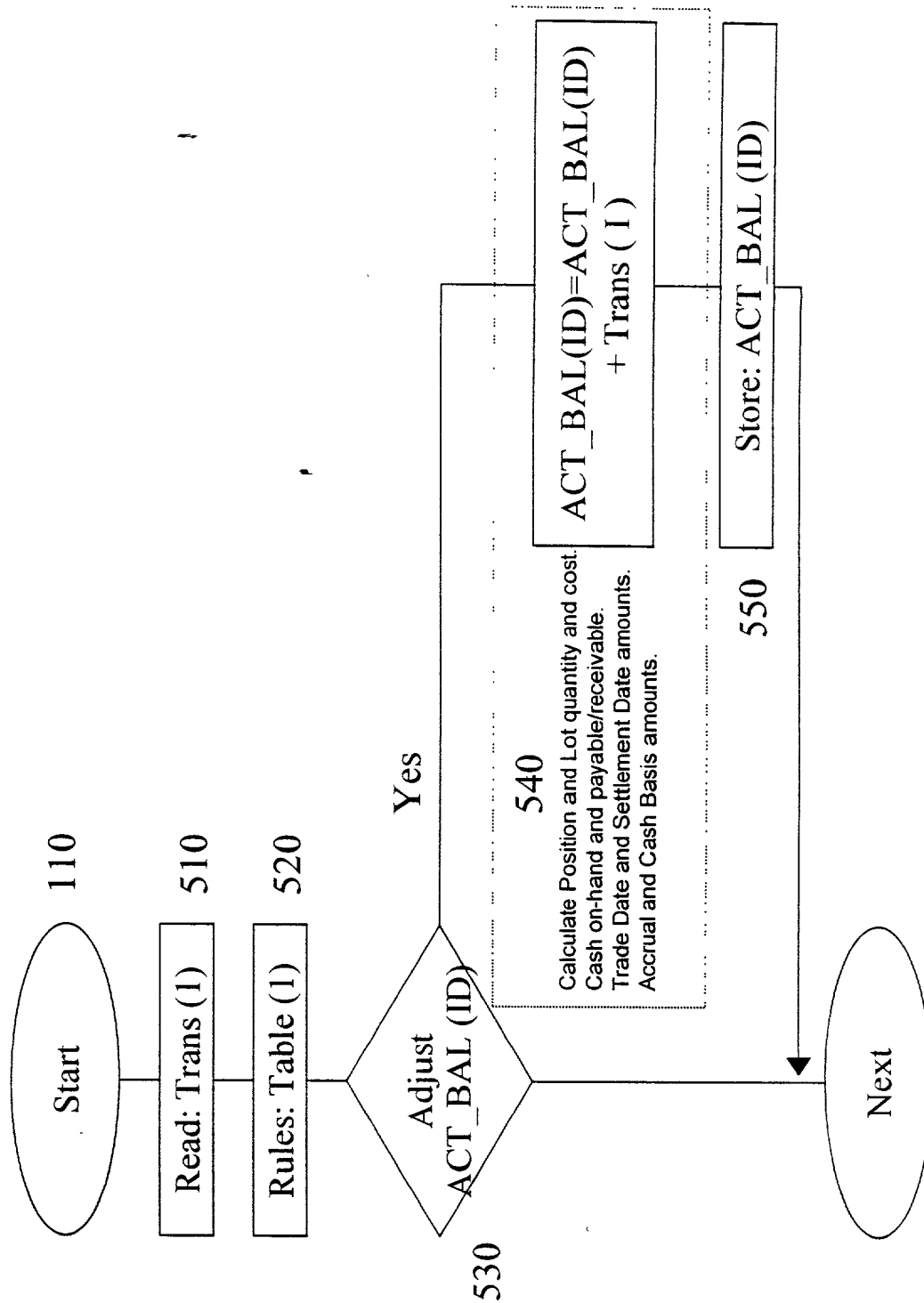


Figure 18- A

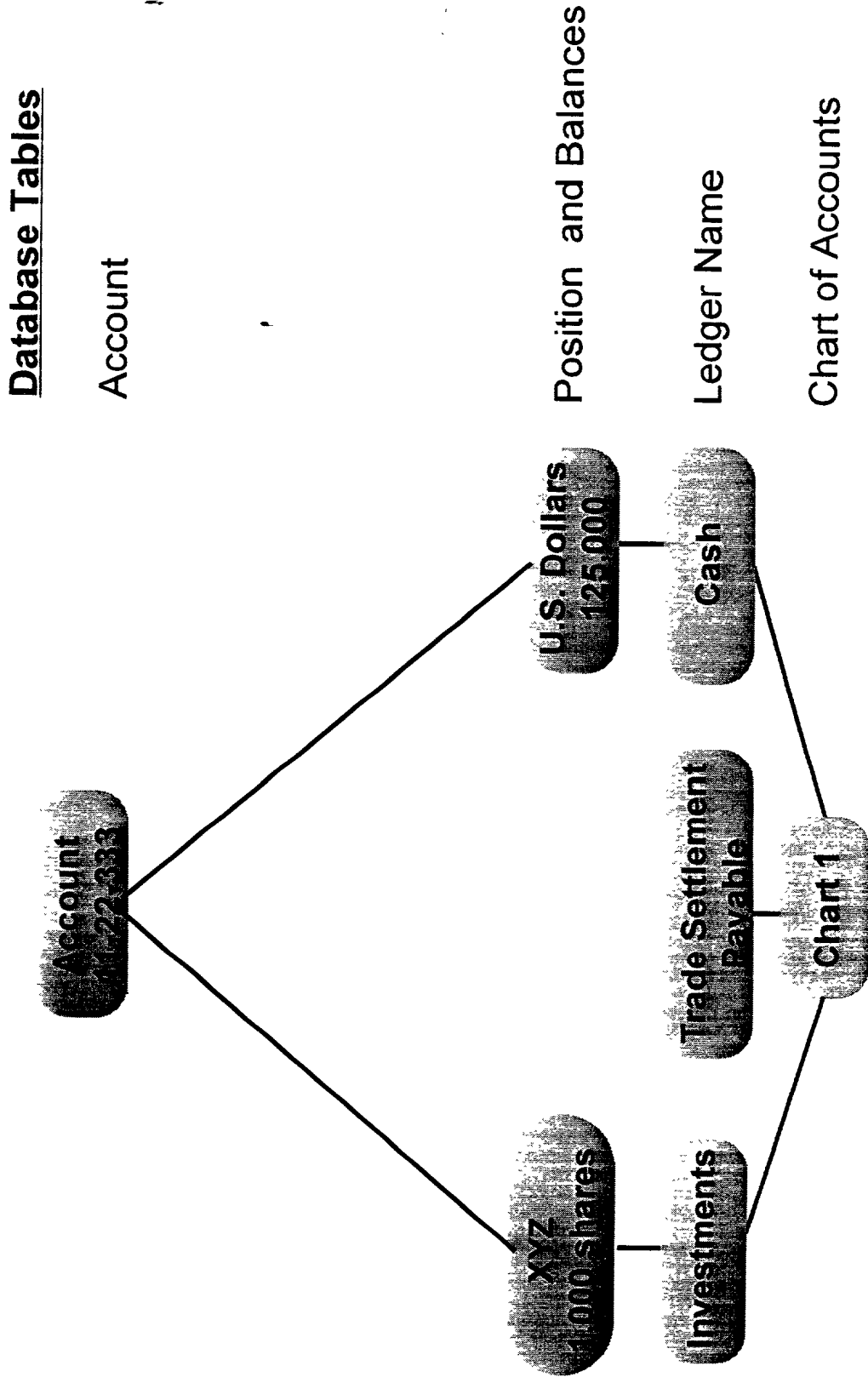


Figure 18- B

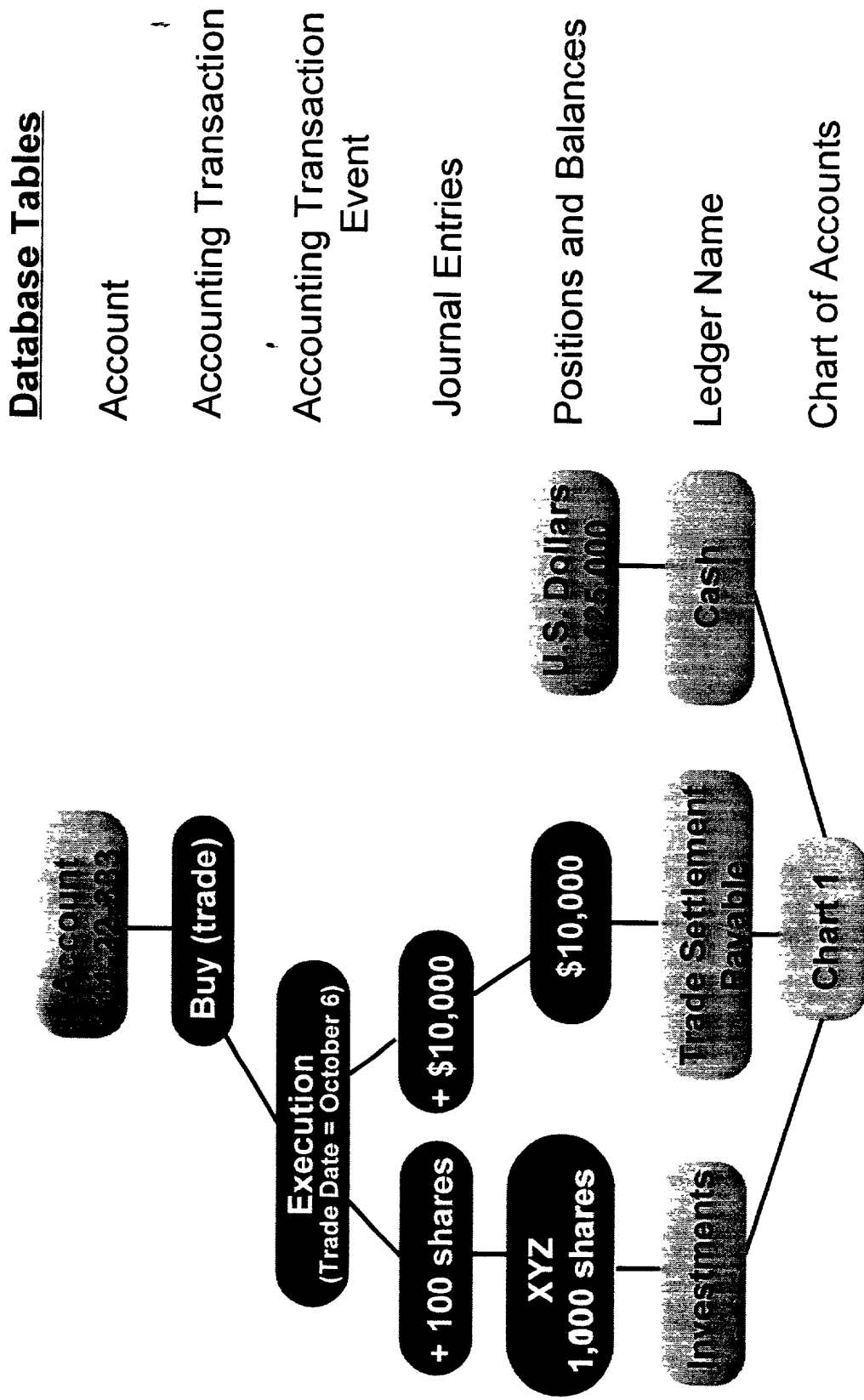


Figure 19

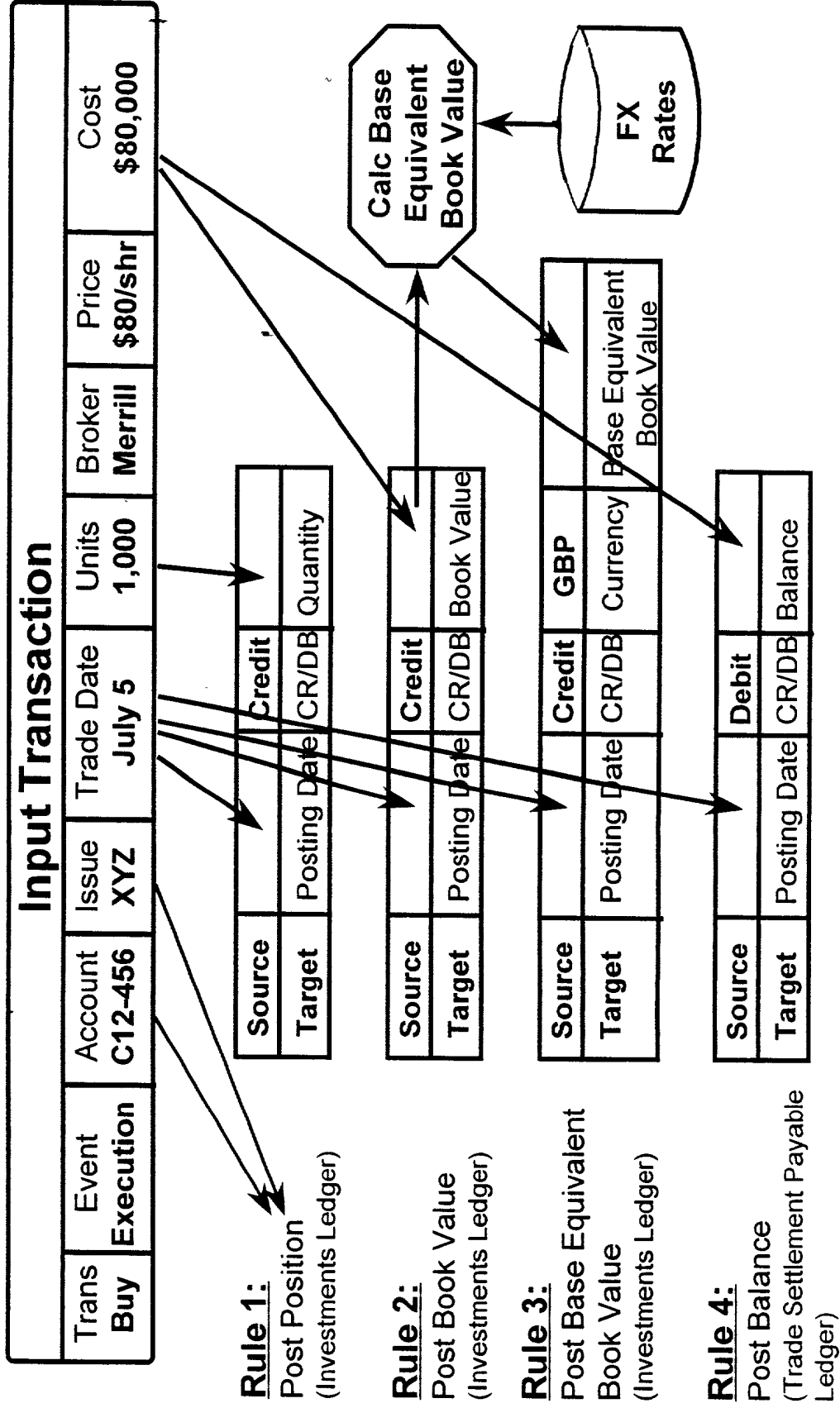


Figure 20

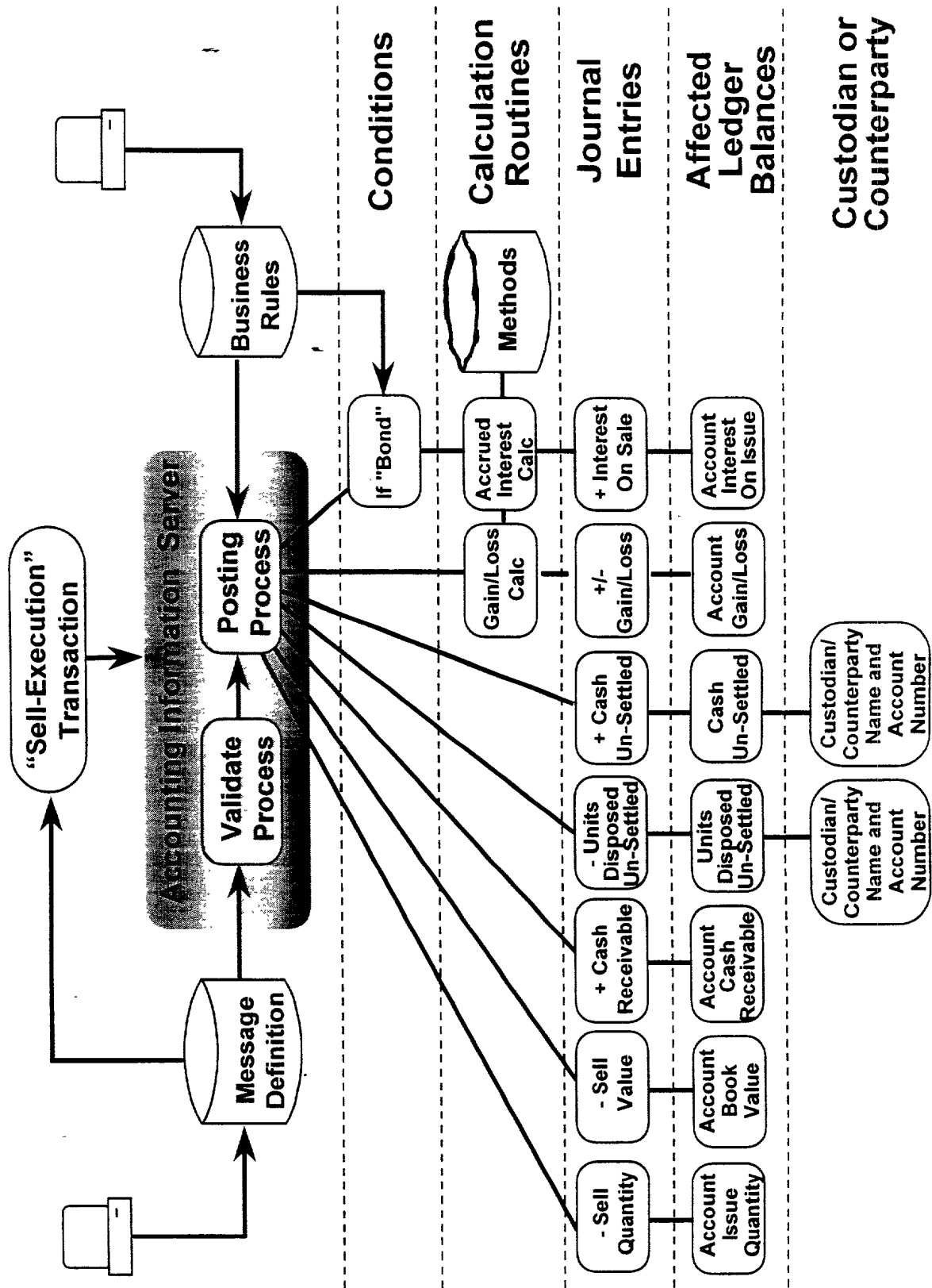


Figure 21

Untitled Document - Microsoft Internet Explorer

http://www.picsoft.com/iti/SecurityMaster_Sample/

Administration **Corporate Actions** **Securities** **Transactions** **Analysis** **You have errors!**

General

Description: Mortgaged Backed Bond

Country: The United States of America

Terms and Prices

Amount Outstanding:	15,000,000.00	Currency:	US Dollars
Issue Date:	10/20/99	Maturity Date:	10/20/29
Average Life:	25 years	Duration:	
Mortgage Factor:	0.367	Amortization WAM:	
Payment Speed:		Yield to Average Life:	
Current Price:	98.75	Current Yield:	
Current Price Currency:	US Dollars	Current Price Date:	
Coupon:		Coupon Next Date:	
Coupon Pay Frequency:	1 times		Quarterly

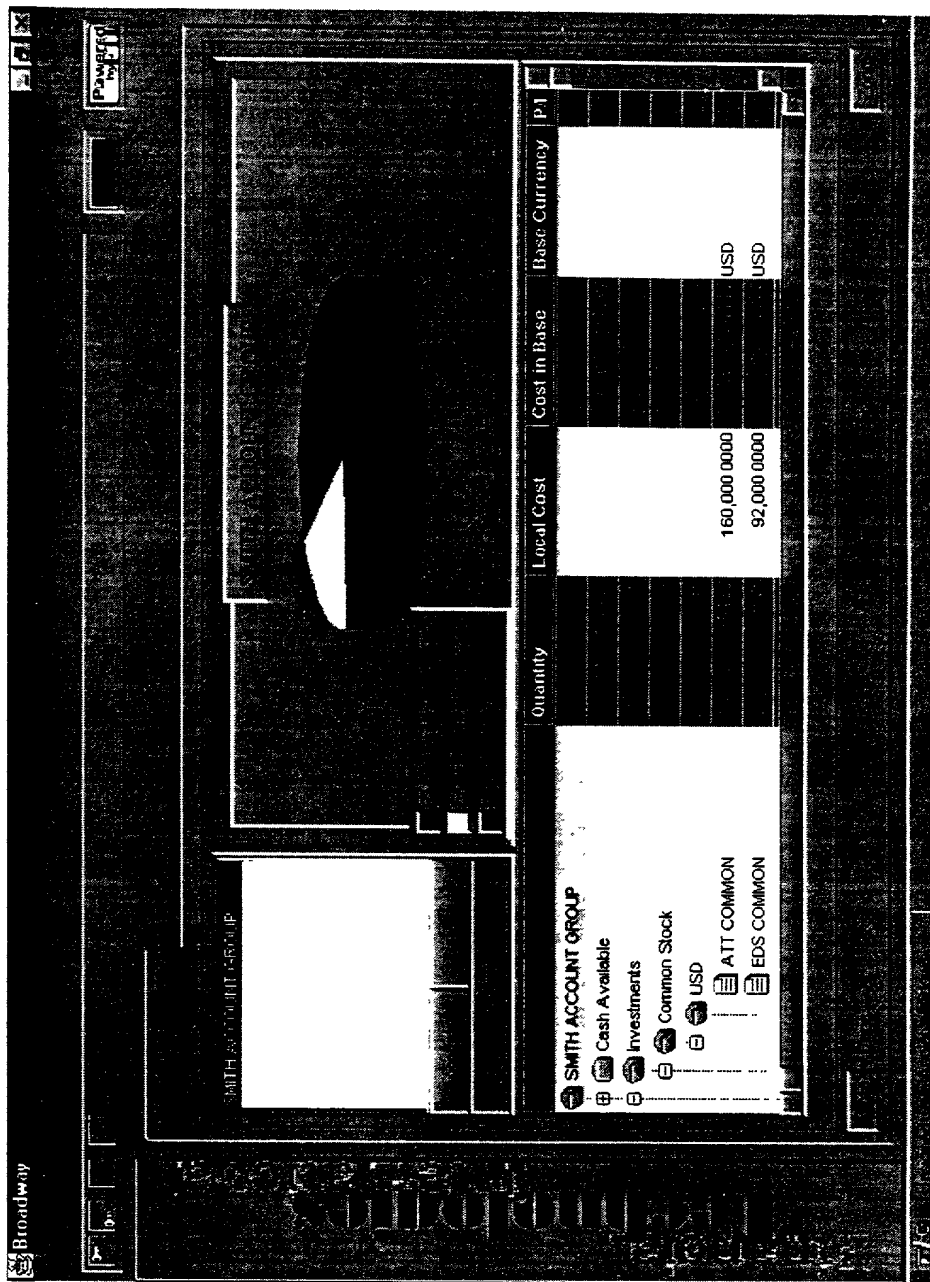
Issue Details

- [Issue Details](#)
- [Descriptions](#)
- [Identifiers](#)
- [Prices](#)
- [Characteristics](#)
- [Statistics](#)
- [Ratings/Rankings](#)
- [Classifications](#)
- [Relationships](#)
- [Groups](#)
- [Income Events](#)
- [Principal Events](#)
- [Units of](#)
- [Denomination](#)
- [Tax Treatment](#)
- [Characteristics](#)
- [Comments](#)
- [Audit Information](#)
- [Source Information](#)

Figure 22

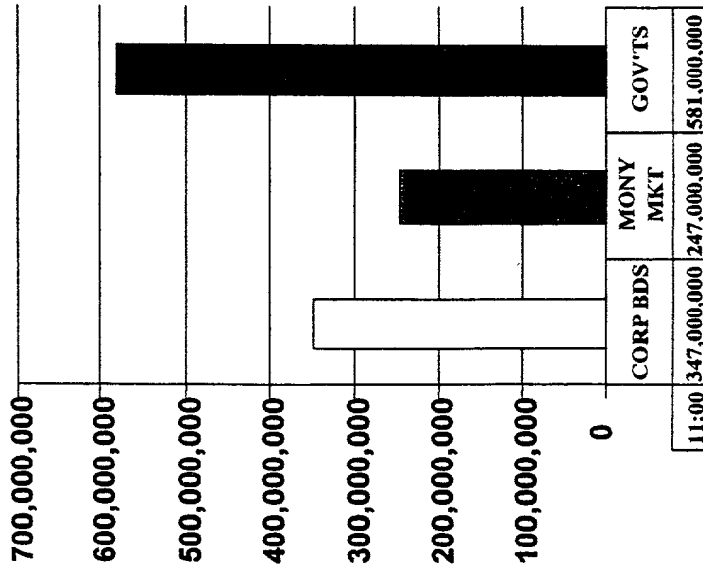
Account - 802			
Account Type:	Account ID:	Name:	
	802	HOOPER CAPITAL	
Name Sort Key:	Account Status:	Account Opening Reason:	
HOOPER	ACTIVE	Date: 05/20/1992	
Description:		Account Closing Reason:	
HOOPER CAPITAL		Date: / /	
Book Of Accounts:		Responsibility Period Termination Date:	
ID:	Name:	Start Date: / /	
RPT1	TREASURY	Termination Date: / /	
Product Line		Depository Affirmation	
ID:	Name:	Procedure:	
PC	EQUITIES	Affirming Party:	
Fiscal Yr End:	Restriction:	Issue ID:	
12/31		Context:	
Level of Service:	<input type="checkbox"/> Margin Delinquency	Status: <input type="button" value="Save"/> <input type="button" value="Print Screen"/> <input type="button" value="Cancel"/>	

Figure 23

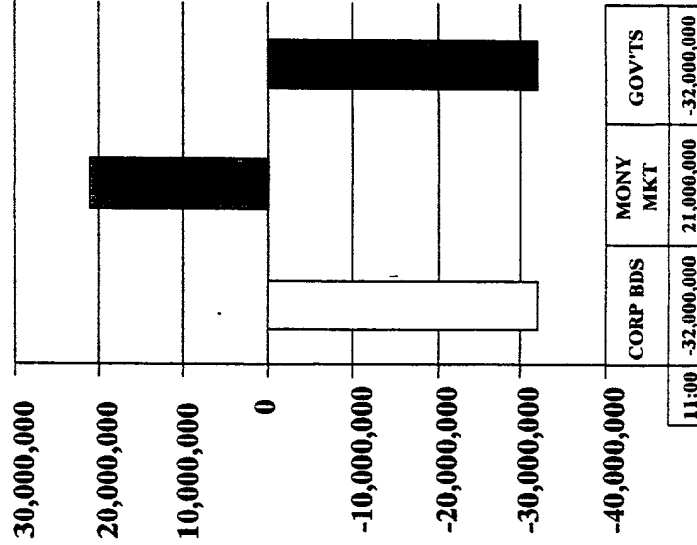


August 24, 2000 11:00 FIG. 25

Current Box Position



Today's Anticipated Settlements



Anticipated Availability at End-of-Day

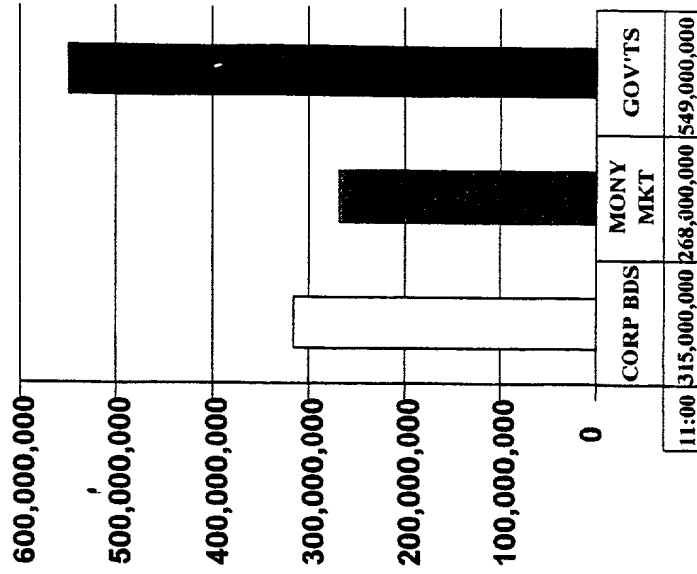


Figure 26

Untitled Document - Microsoft Internet Explorer

Forward

http://www.picsoft.com/lu/Box_Sample/index_transactions.htm

FTC Administration Corporate Actions Securities Transactions Analysis

You have errors

Account ID or to search enter

Account Name Search

Enter the counter party information:

Broker Short Name or Account ID or to search enter

Counter Party Search

Transaction Ref. #

Transaction Information

Transaction Code

Enter the issue information

Issue ID and Issue ID Type or to search enter

FIG. 27

REPORT DATE: 29-DEC-1999
REPORTING CURRENCY: USD

[illegible]

20810 3435001
FIG. 28

AVAILABLE COLLATERAL PROJECTIONS

AS OF TIME: 16:00:00 AS OF DATE: 24-AUG-2000

ISSUE TYPE	ISSUE NAME	ID	RTG	PRICE	MGN RATE	LOCATION	POSITION	ENCUMBERED POSITIONS	ANTICIPATED SETTLEMENTS	AVAILABLE COLLATERAL	MARKET VALUE	MARGIN VALUE
BOND	IRADAMCON V. YANKEE NOTES 7.750%	774849AB9	Aa	98.50	102	DTC	40,000,000	0	-70,000,000	-30,000,000		
	RALSTON PURINA DEBENTURES 9.30%	751277AN4	A-	102.00	102	EUROCLR DTC	75,000,000	0	0	75,000,000	73,875,000.00	72,426,470.7
	RECOGNITION EQUIP INC CONVERTIBLE SUB	56231AC0	B+	102.00	102	DTC	33,000,000	0	0	33,000,000	33,660,000.00	33,000,000.00
	REED L SEV R CAPITAL INC NOTES 7%	75820QAB8	AA-	101.00	102	DTC	77,000,000	0	-40,000,000	37,000,000	37,740,000.00	37,000,000.00
	US TREASURY BOND COUPON 8.75% 11-15-2008	912810CE6		101.00	105	EUROCLR CHASE	26,000,000	0	13,000,000	105,000,000	106,050,000.00	103,970,588.24
GOVT	US TREASURY INFLATION INDEXED NOTE	9128273A8		100.00	105	CHASE	93,000,000	20,000,000	-29,000,000	39,000,000	39,390,000.00	38,617,647.06
	US TREASURY NOTE COUPON 7.75% 12-31-99	912827S45		99.00	105	CHASE	145,000,000	0	5,000,000	150,000,000	148,500,000.00	141,428,571.43
	US TREASURY NOTE COUPON 8.5% 11-15-2000	912810EF1		102.00	105	CHASE	24,000,000	0	11,000,000	35,000,000	35,700,000.00	34,000,000.00
ONEYMKT	BANKERS TRUST 0% CPN 7-2000	066320AL6	Aa	101.00	103	DTC	46,000,000	0	-19,000,000	27,000,000	27,270,000.00	26,475,728.1
	HOUSEHOLD FIN ORP BE 1 APR 93 SEMI-PAY	44181JGL5	A	100.00	103	DTC	118,000,000	0	0	118,000,000	118,000,000.00	114,563,106.80
	LASALLE NORTHWEST ZERO % 7-3-2000	518027SQ1		101.50	103	DTC	28,000,000	0	-14,000,000	14,000,000	14,210,000.00	13,796,116.50
	MERRILL LYNCH & CO 6TH MONTH LIBOR	59018SRH9	AA-	98.00	103	DTC	85,000,000	0	0	85,000,000	83,300,000.00	80,873,786.41

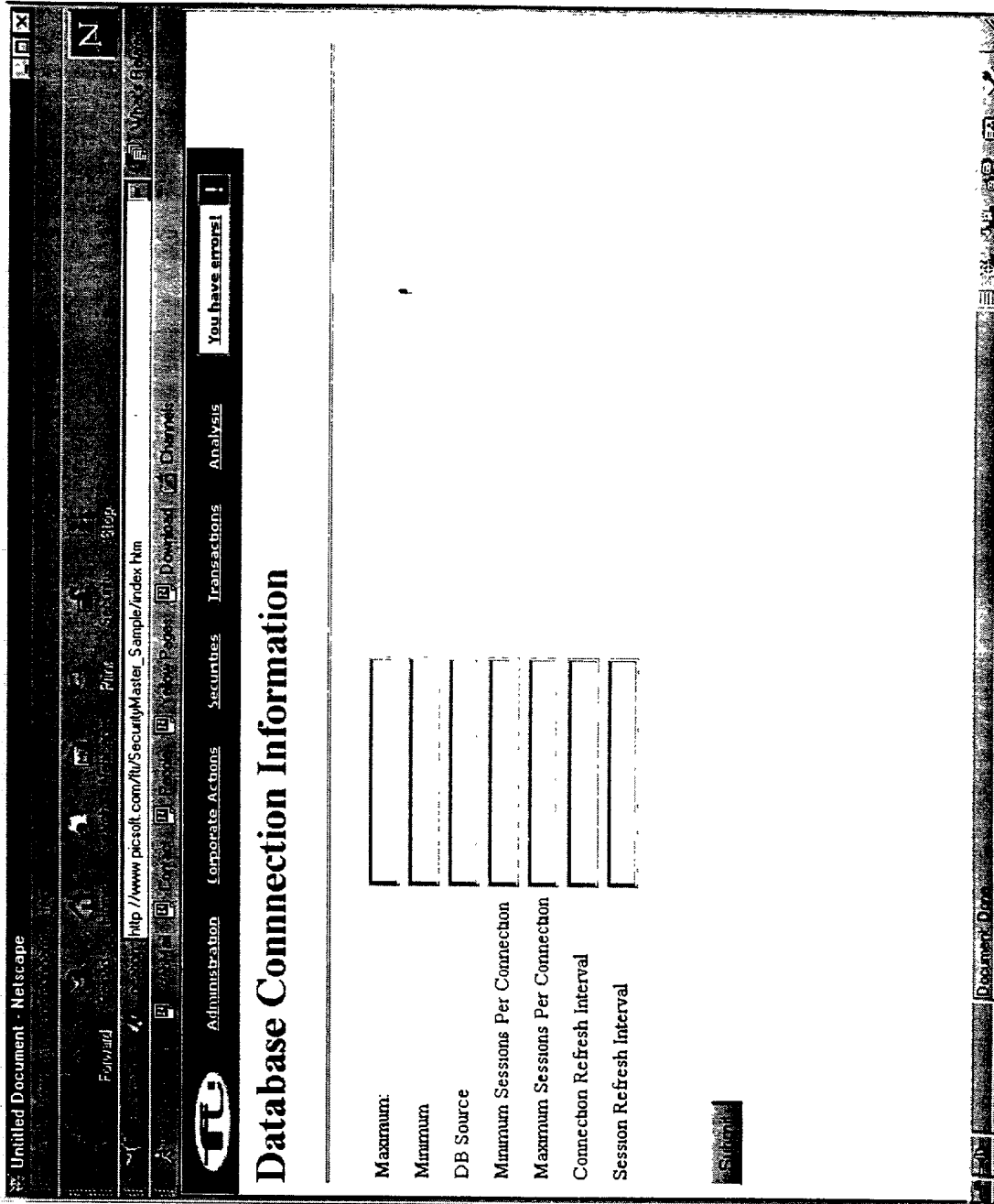


FIG. 29

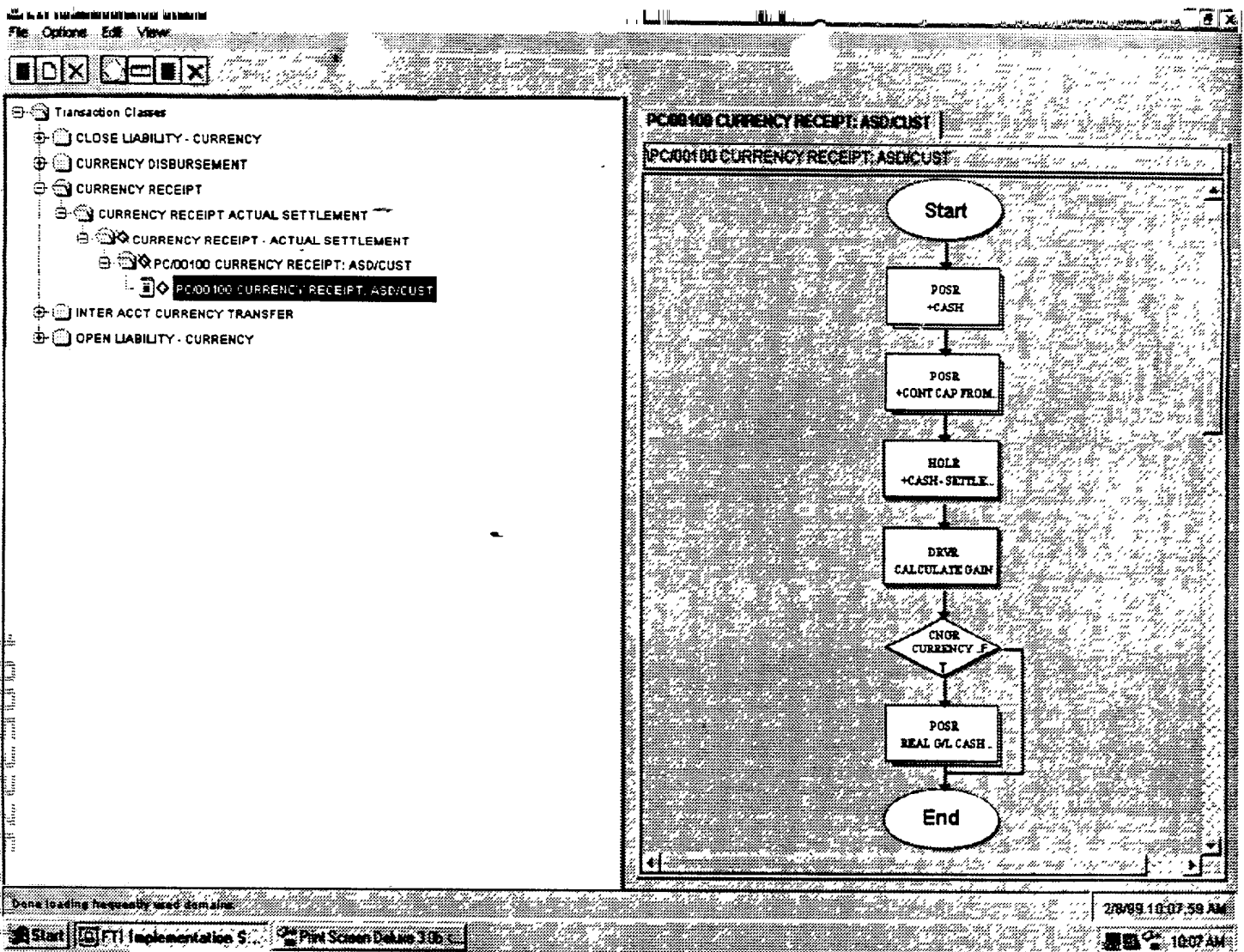


FIG. 30